

## Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites  
Data for every month ending**

### Data for every month ending

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved	Pending at the end of the month**		Average Resolution time^ (in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0		NA
2	SEBI SCORES	0	0	0	0	0		NA
3	Stock Exchanges	0	0	0	0	0		NA
4	Other Sources (if any)	0	0	0	0	0		NA
5	Grand Total	0	0	0	0	0		NA

### Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	2	3	4	5	6
1	JAN-2024	0	0	0	0
2	FEB-2024	0	0	0	0
3	MAR - 2024	0	0	0	0
4	APRIL - 2024	0	0	0	0
5	MAY -2024	0	0	0	0
6	JUN-2024	0	0	0	0
7	JUL-2024	0	0	0	0
8	AUG-2024	0	0	0	0
9	SEP-2024	0	0	0	0
10	OCT-2024	0	0	0	0
11	NOV-2024	0	0	0	0
12	DEC-2024	0	0	0	0
13	JAN-2025	0	0	0	0
14	FEB-2025	0	0	0	0
15	MAR – 2025	0	0	0	0
16	APRIL - 2025	0	0	0	0
	Grand Total	0	0	0	0

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

SN	Year	Carried forward From previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	0	0	0
5	2021-22	0	0	0	0
6	2022-23	0	0	0	0
7	2023-24	0	0	0	0
8	2024-25	0	0	0	0
9	2025-26	0	0	0	0
	<b>Grand Total</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>