

## **Policy on Dormant / Inactive Accounts**

Client account will be considered as inactive if the client does not trade for period of one year or more. Based on the request of the client Pratibhuti in its sole discretion may activate the client account. Pratibhuti shall return the securities /funds lying in such inactive account to the client as per the applicable rules, bye-laws, regulations, circulars, etc of the Exchange(s).

Temporarily suspending or closing a client's account based on the client's request:

- Any client desirous of temporarily suspending his or her trading account has to give such request in writing to the management. After management's approval, further dealing in such client's account will be blocked. Whenever trade has to be resumed in any suspended client account, a request in writing should be made by the client to the management and the management may ask for updated financial information and other details for reactivating such account. After receiving necessary documents, details, etc. and approval from the management, the client account will be reactivated and transaction will be carried out.
- Similarly, any client desirous of closing his / her account permanently is required to inform in writing and the decision in this regard will be taken by the management. After necessary approval from the management, the client code will be deactivated. Only after scrutinizing the compliance requirements and a "no pending queries" confirmation is taken, securities and funds accounts will be settled.

### **Deregistering a Client**

Pratibhuti . may, at its absolute discretion, decide to deregister a particular client. The illustrative circumstances under which Pratibhuti . may deregister a client are given below:

- SEBI or any other regulatory body has passed an order against such client, prohibiting or suspending such client from participating in the securities market.
- Such client has been indicted by a regulatory body or any government enforcement agency in case of market manipulation or insider trading or any other case involving violation of any law, rule, regulation, guideline or circular governing securities market.
- Such client is suspected of indulging in illegal or criminal activities including fraud or money laundering.
- Such client's name appears in the UN list of prohibited entities or in the SEBI debarred list.
- Such client's account has been lying dormant for a long time or the client is not traceable.
- Such client has been declared insolvent or any legal proceedings to declare him / her as insolvent have been initiated.
- Such client has been irregular in fulfilling obligations towards margin or settlement dues.
- Such client has a tainted reputation and any business relationship with such clients is likely to tarnish the reputation of Pratibhuti . or may act as detriment to Pratibhuti's prospects.

The above stated policy may vary depending on various rules, regulations and bye laws as may be prescribed by SEBI / Exchanges or any other authority or as per Internal Policy of Company time to time. This Policy for dormant accounts is over and above the transaction monitoring in Dormant account as per Anti-Money laundering Policy of the Company.

For **Pratibhuti Vinihit Ltd**

**Compliance Officer**  
**Suniil Pachisia**



## Trading Account Re-activation Form

To,  
Pratibhuti Vinihit Ltd.  
15-A, 28 Rajabhadur Mansion,  
3rd Floor, Mumbai Samachar Marg,  
Fort, Mumbai 400 001.  
Maharashtra.  
India.

Date : / /

**(To be filled by the Trading Account Holder)**

Client Code	
Client Name	
Client Address	
Introduced by/ Name of Sub Broker	

I/We hereby request you to reactivate my/our-trading account and treat this as intimation for reopening.

I/We hereby confirm that all the information's provided to you with initial account opening are the same, and I/We do agree to abide by the Exchange Rules and Notifications issued till date.

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**Trading Account Holder Signature**

### FOR OFFICE USE ONLY

Client Code	
Client Name	
Date of Last Transaction	
Date of Activation	
Whether all Trading Account Documents/ Proofs are in order	
Checked and Updated By	

- Note :**
- a) Self Attested Pan Card Copy of Trading Account Holder
  - b) Self Attested Address Proof Copy of Trading Account Holder
  - c) Self Attested Income Proof Copy of Trading Account Holder
  - d) Self Attested Bank Proof Copy of Trading Account Holder